

## **2016 RENEWAL REQUIREMENTS FOR ISSUER- APPOINTED SALESPERSONS**

ISSUER-APPOINTED SALESPERSONS: \$50 registration fee **AND** a copy of page 1 of the most recent U-4 form. PLEASE NOTE: Salespersons selling for issuers with portfolios must be registered **with each portfolio**. If the salesperson is registered with more than one portfolio, you need only provide one copy of page 1 of the most recent U-4 form for the trust name and attach a list of portfolios. Please be sure the fund name is correct on the U-4 form.

**Payment must be made directly to the State of Montana Securities Department and received prior to December 31, 2015.**

Should you have any questions regarding the renewal procedure, please feel free to contact the Montana Securities Registration Department.

**INCOMPLETE FILINGS WILL DELAY RENEWALS**