

BEFORE THE STATE AUDITOR
AND COMMISSIONER OF SECURITIES
HELENA, MONTANA

IN THE MATTER OF:)	CASE NO. 03-16-09-284 I
)	
RONALD MOSCHETTA, individually and)	
in his capacity acting as a broker-dealer)	CONSENT AGREEMENT
salesperson and investment advisor)	
representative; and)	
)	
STRASBOURGER PEARSON TULCIN)	
WOLFF, INC., a broker-dealer firm)	
)	
)	
Respondents.)	

This Consent Agreement dated this 18th day of January, 2012, is between the Office of the Commissioner of Securities and Insurance, Montana State Auditor (CSI) acting pursuant to the authority of the Securities Act of Montana, Mont. Code Ann. § 30-10-101 *et seq.* (Act) and Ronald Moschetta (Moschetta).

RECITALS

WHEREAS, the CSI initiated this case alleging violations of the Act by Moschetta, for making unregistered solicited securities transactions in September 2008 and;

WHEREAS, Moschetta violated the terms of a previous consent agreement by issuing a check without sufficient funds, but did issue a replacement check to the State of Montana and failing to offer rescission in a timely manner, and

WHEREAS, the CSI has engaged in extensive investigation of the allegations contained within the case; and

WHEREAS, the CSI and Moschetta have agreed that the best interests of the public would be served by entering into the agreements and undertakings specified herein.

NOW THEREFORE, in consideration of the mutual undertakings herein contained, the CSI and Moschetta hereby agree to resolve their differences and settle this matter pursuant to the following terms and conditions:

SUMMARY OF ALLEGATIONS

The Action includes allegations that Respondents engaged in securities transactions in certain customer accounts without proper registration to conduct such business in Montana.

STIPULATIONS AND CONSENTS

The parties jointly and mutually consent and agree as follows:

A. Without admitting or denying any of the allegations contained within the case, Moschetta stipulates and consents to the following:

1. To accept a denial of his registration application.
2. To comply with the terms and conditions of this Consent Agreement and with the securities laws and regulations of Montana.
3. Moschetta fully and forever release and discharges the Commissioner of Securities and Insurance, Montana State Auditor (Commissioner), the CSI, and all CSI employees from any and all actions, claims, causes of action, demands, or expenses for damages or injuries that may arise from the allegations underlying this Agreement,



whether asserted or unasserted, known or unknown, foreseen or unforeseen, arising out of the Agency Action.

B. Based on the foregoing consents and stipulations by Moschetta, the CSI agrees as follows:

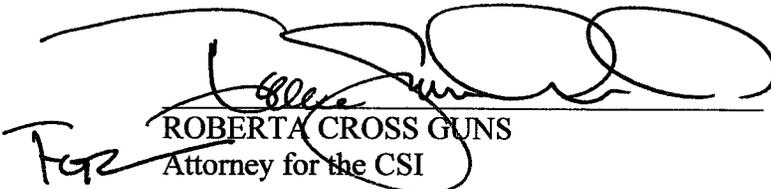
1. The CSI, pursuant to authority of the Montana Insurance Code and the applicable statutes found in the Montana Administrative Procedures Act, agrees that if the terms and conditions of this Consent Agreement are fully met, the CSI will not initiate any civil, administrative or other judicial proceeding against Moschetta regarding the allegations contained in this case. Additionally, pursuant to authority of the Montana Insurance Code and the applicable statutes found in the Montana Administrative Procedures Act, the CSI agrees that if the terms and conditions of this Consent Agreement are fully met, the CSI will not refer this case for criminal prosecution regarding the allegations contained in this case.

C. All parties to this Consent Agreement agree and acknowledge:

1. This Consent Agreement constitutes the entire agreement between the parties, there being no other promises or agreements, either express or implied.

2. The CSI and Moschetta agree that this Consent Agreement shall be incorporated and made a part of the attached Order issued by the CSI.

DATED this 10th 10th day of January, 2012.


ROBERTA CROSS GUNS
Attorney for the CSI



State of New York)
) :SS
County of _____)



RONALD MOSCHETTA

SUBSCRIBED AND SWORN to before me this 10th day of January, 2012.


Printed Name: _____
Notary Public for the State of: New York
Residing at: _____
My commission expires: _____

ANTHONY CHIARAVALLOTI
Notary Public, State of New York
No. 30-488959
Qualified in Nassau County
Commission Expires October 27, 2014

CERTIFICATE OF SERVICE

I hereby certify that on the 19th day of January, 2012, I served a true and accurate copy of the foregoing Consent Agreement upon Ronald Moschetta by mail, postage prepaid at the following address:

Ronald Moschetta
152 E. Park Avenue
Long Beach, NY 11561



