



# 2 0 1 8 IN NUMBERS

## CALENDAR YEAR 2018

### INSURANCE

- Saved \$7.4 million for over 29,000 Montanans in insurance rates; reviewed 3,736 rate filings
- Recovered another \$4.8 million for Montanans by handling 1,010 complaints and 332 inquiries
- Reviewed 69,854 forms, completed 14 financial exams
- 116,103 total active insurance licenses in Montana (105,863 individuals, 10,240 businesses)

### SECURITIES

#### ENFORCEMENT

- Resolved 4 criminal and 11 administrative matters
- \$7.8 million was ordered in restitution, \$700,000 in fines were imposed on bad actors
- \$122,000 was paid from bad actors to the Securities Restitution Assistance Fund

#### REGULATION

- 104,857 licensed salespeople (1,233 live in Montana)
- 965 licensed investment adviser firms (75 domiciled in Montana, \$21 billion in client assets)
- 1,285 licensed broker-dealer firms (2 domiciled in Montana)

#### CAPITAL FORMATION

- Invest in Montana workshops held in 21 Montana towns
- 29 Montana issuers approved to raise up to \$562 million
- 8 crowdfunding ventures approved to raise up to \$4.7 million

### OTHER

- Received 63 public records requests and released nearly 34,000 pages of documents
- 273 legal matters closed, with \$1.2 million in fines and \$11.4 million in ordered restitution

## FISCAL YEAR 2018

### BUDGET/OPERATIONS

- \$90 million collected and transferred to the state General Fund
- 3.3% decrease in expenditures from Fiscal Year 2017 to Fiscal Year 2018

### STATE LAND BOARD

- Over 27,000 acres of new public access
- \$42 million in revenue generated for schools
- 61 million board feet of timber, 1,486 oil and gas leases, 35 coal leases