



# 2 0 1 9 IN NUMBERS

## CALENDAR YEAR 2019

### INSURANCE

- Recovered over \$5 million for Montanans by handling 1,021 complaints and 222 inquiries
- Saved \$9 million for over 31,000 Montanans in insurance rates; reviewed 4,067 rate filings
- Reviewed 65,812 forms
- 125,589 total active licenses in Montana (114,831 individuals, 10,758 businesses)
- [Lowered health insurance rates on every individual market plan](#) (~\$1,000 cheaper on average)
- Hosted the full-day Montana Insurance Summit, an educational event for over 200 attendees
- Conducted hail damage outreach & education events in Billings, Townsend, Roundup, Lewistown, Havre, Great Falls

### SECURITIES

#### ENFORCEMENT & REGULATION

- Referred 6 criminal and 13 administrative matters
- \$1.7 million was ordered in restitution, \$315,000 in fines were assessed
- \$125,000 paid to 12 victims of fraud from the Securities Restitution Assistance Fund; bad actors paid \$259,000 into the Fund
- 116,929 licensed salespeople (1,208 live in Montana)
- 1,300 licensed broker-dealer firms (2 domiciled in Montana), 578 branch offices located in MT
- 1,025 licensed investment adviser firms (72 domiciled in Montana, managing more than \$25 billion in assets for over 69,000 clients)

#### OUTREACH, EDUCATION, & FRAUD PREVENTION

- Established the Senior Financial Exploitation Task Force and Southeastern MT “FAST” Team
- Conducted full-day workshop on preventing elder exploitation for law enforcement
- Hosted 6 anti-fraud educational events for seniors and 5 “Money Matters for Montana Women” presentations throughout Central and Eastern Montana, and 2 Opportunity Zone presentations

### LEGAL

- Received 43 public records requests and released 1,540 pages of documents
- 207 legal matters closed, with \$975,000 in fines and over \$660,000 in ordered restitution

## FISCAL YEAR 2019

### BUDGET/OPERATIONS

- \$92 million collected and transferred to the state General Fund
- Total CSI expenditures (\$7.67 million) continue to be lower than in FY 2017 (\$7.79 million)