



# MONTANA STATE AUDITOR COMMISSIONER OF SECURITIES AND INSURANCE

“ The hard work, professionalism, and devotion to the mission of those who make up this agency shows not just in quantity and quality, but in the heart given by these team members. I smile every morning when I walk into the office knowing we are doing important, meaningful work and have fun doing it. — Commissioner Troy Downing

## Our Vision & Mission

### VISION

A state where consumers are safeguarded through the regulation of insurance and securities industries, investigating fraud, prosecuting criminals and business flourishes.

### MISSION

- Inform consumers and industry through education and outreach.
- Provide responsive and meaningful customer service.
- Support Montana business by promoting industry innovation and removing unnecessary regulatory roadblocks and red tape.
- Manage State Trust Lands with long-term goals of stewardship for the ongoing benefit of public education.

## WE ARE A CRIMINAL JUSTICE AGENCY

### INSURANCE

- We are a consumer protection agency.
- We ensure Montana's insurance industry abides by state law.
- We examine insurance companies for financial solvency and compliance with the Montana Insurance Code.
- We investigate insurance complaints and fraud.

### SECURITIES

- We educate Montana's consumers and investors on securities.
- We investigate securities and financial fraud.
- We encourage capital investment in Montana.
- We promote uniformity in the market through oversight of the securities industry.
- We examine the securities industry for compliance with the Montana Securities Act.

### LEGAL

- We advise CSI, industry, and the public on the law as it relates to regulation of insurance and securities.
- We oversee investigations into and prosecute violations of Montana's insurance and security codes.
- We are general counsel for CSI.



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# 2022 Beyond

## STRATEGIC ROADMAP OVERVIEW



### LEGISLATIVE EFFECTIVENESS

Build and execute a successful government relations strategy to protect consumers and foster a strong insurance and securities marketplace for Montanans through fair and efficient regulation.

- Set agency legislative agenda with stakeholder input.
- Establish legislative priorities.



### MEANINGFUL OUTREACH

Deliver meaningful communication to educate, inform and empower consumers and industry.

- Enhance agency's online presence and ergonomics/information accessibility.
- Redesign website for optimal stakeholder experience – including value, quality, and content to drive awareness and engagement.
- Promote State Trust Land stakeholder engagement.
- Promote Montana as a leading captives domicile.



### LEGAL | PROSECUTION AND ENFORCEMENT

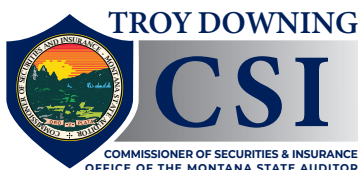
Ensure adherence to state laws and regulations and hold bad actors accountable and advocate victim justice.

- Maintain CSI as the lead in white collar crime investigation and enforcement.
- Support of and participate in statewide elder justice councils.
- Review and simplify agency administrative rules.



### OPERATIONS | REGULATION & COMPLIANCE

Promote intra-agency effective, efficient and transparent management and stewardship of state resources.



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