

# PROTECT. EDUCATE. & GROW.



## MONTANA STATE AUDITOR COMMISSIONER OF SECURITIES AND INSURANCE

### Our Vision & Mission

#### VISION

An accountable, responsive agency serving and safeguarding Montana consumers by regulating the insurance and securities industries, investigating fraud, and prosecuting criminals.

#### MISSION

- Inform consumers and industry through education and outreach
- Provide responsive and meaningful customer service
- Investigate complaints, fraud reports, and prosecute criminals
- Support Montana business by promoting industry innovation and removing unnecessary regulatory roadblocks and red tape
- Manage State Trust Lands for the ongoing benefit of Montanans and public education

### We... ARE A CONSUMER PROTECTION AGENCY

#### INSURANCE

- Educate consumers and industry on insurance matters
- Ensure compliance with the Montana Insurance Code
- Oversee industry conduct and insurance company financial solvency
- Investigate insurance complaints and fraud
- Assist producers, adjusters and consultants with licensing and continuing education needs
- Review and approve insurance rates and policy form filings for compliance and actuarial soundness

#### SECURITIES

- Educate Montana's consumers and investors on securities
- Investigate securities and financial fraud
- Encourage capital investment in Montana
- Oversee the securities industry for Montana's Securities Act compliance
- Promote securities market uniformity through industry oversight

#### LEGAL

- Advise industry and the public on laws relating to our agency
- Oversee investigations into and prosecute violations of Montana's insurance and security codes
- Serve as general counsel for the CSI



 406.444.2040

 840 Helena Avenue  
Helena MT 59601

 [csi@mt.gov](mailto:csi@mt.gov)

 [csimt.gov](http://csimt.gov)

 @DowningCSIMT

 @MTCommissionerSecuritiesInsurance

 @CommissionerDowning

 MTCommissionerofSecuritiesInsurance