

# PROTECT. EDUCATE. GROW.



## MONTANA STATE AUDITOR COMMISSIONER OF SECURITIES AND INSURANCE

### Our Vision & Mission

#### VISION

An accountable, responsive agency serving and safeguarding Montana consumers by regulating the insurance and securities industries, investigating fraud, and prosecuting criminals.

#### MISSION

- Informing consumers and industry through education and outreach
- Providing responsive and meaningful customer service
- Investigating complaints and prosecuting fraud
- Supporting Montana by promoting industry innovation and removing unnecessary regulatory roadblocks and red tape
- Managing State Trust Lands for the ongoing benefit of public education and Montana industry and recreation that depends on responsible use of State land

### We... ARE A CONSUMER PROTECTION AGENCY

#### INSURANCE

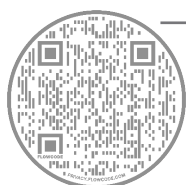
- Educate consumers and industry on insurance matters
- Ensure compliance with the Montana Insurance Code
- Oversee industry conduct and insurance company financial solvency
- Investigate insurance complaints and fraud
- Assist producers, adjusters and consultants with licensing and continuing education needs
- Review and approve insurance rates and policy form filings for compliance and actuarial soundness

#### SECURITIES

- Educate Montana's consumers and investors on securities
- Investigate securities and financial fraud
- Encourage capital investment in Montana
- Oversee the securities industry for Montana's Securities Act compliance
- Promote securities market uniformity through industry oversight

#### LEGAL

- Advise industry and the public on laws relating to our agency
- Oversee investigations into and prosecute violations of Montana's insurance and security codes
- Serve as general counsel for the CSI



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