

UNIFORM NOTICE FOR MULTI-LEVEL MARKETING COMPANIES

This filing is: An Initial An Amendment *A Renewal

***If this is a renewal, please only complete sections with changes from the prior year.**

COMPANY NAME		MT FILE # (Renewing or Amending)	
NAME UNDER WHICH BUSINESS IS CONDUCTED, IF DIFFERENT			
IF COMPANY OR BUSINESS NAME IS BEING AMENDED, GIVE PREVIOUS NAME			
CORPORATE ADDRESS (Actual Physical Address)	CITY	STATE	ZIP
MAILING ADDRESS (if different)	CITY	STATE	ZIP
EMAIL ADDRESS	WEBSITE	PHONE #	

EXECUTION

Both the undersigned and the above-named multi-level marketing company represent that the information and statements contained herein, including attached exhibits and other information fields herewith, are current, true, and complete. Both parties further represent that, to the extent that any information previously submitted is not amended, such information is currently accurate and complete.

DATE	SIGNATURE	STATE OF INCORPORATION
TYPE NAME AND TITLE		

List below all individuals who have direct responsibility for the management of the multi-level marketing company. Also include each beneficial owner having the power to vote or dispose of 10% or more of a class of equity securities of the company. (If a renewal, please note any additions or removals below.)

PRESIDENT OR CEO (Full Legal Name)	DATE OF BIRTH	TITLE
MAILING ADDRESS (City, State, Zip)		EMAIL ADDRESS

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MAILING ADDRESS (City, State, Zip)		EMAIL ADDRESS



Name of Multi-Level Marketing Company _____

Date _____

In addition to the following, please attach a copy of all marketing and sales material provided to new participants. Include a copy of the Company's policies and procedures manual. (If a renewal, please provide the materials or manual only if they have changed.)

Please complete the following sections:

A. PRODUCTS & SERVICES

Primary products and services _____

Brand Names _____

Retail Price Range (per single item) from \$_____ to \$_____

Has the Company ever been or is it currently a member of the Direct Selling Association? Yes No

B. PARTICIPANT'S INITIAL COSTS

Cost of sales kit (Start-Up) \$_____

Is the sales kit required? Yes No
See page 5 for more details.

Is the sales kit offered at cost? Yes No

Cost of Required Inventory Purchases: _____

How long does a participant have to cancel the agreement for a full refund of any consideration paid? (Please include document and page number where the cancellation policy is published) _____

What is the total minimum cost to the participant for the first six months of operation? _____

C. INVENTORY BUY-BACK POLICY

Does the Company have a buy-back policy in place? Yes No

If yes, please indicate:

Percentage _____%

What is the time limitation for the buy-back policy? _____

Does it include the sales kit? Yes No

What products, if any, are not included in the policy? *(Please explain why)*

Where is the buy-back policy published? *(Please include the document and page number)*

D. COMPENSATION STRUCTURE

Compensation structure: Multi-level Single Level

If multi-level, what percentage of the total compensation paid to participants, is from recruitment?

Number of participants in Montana: _____

Please submit a list of Montana resident participants, including their full name, address, phone number, amount invested, amount compensated, date joined and name of the sponsor.

Are there any minimum purchases and/or sales required to remain an active participant or to receive commission? Yes No

If yes, please explain:

E. SALES

Estimated annual volume: \$ _____

Retail *(actual or range)*:

- | | |
|--|--|
| <input type="checkbox"/> A Less than \$2.5 million | <input type="checkbox"/> E \$50.1 - \$100 million |
| <input type="checkbox"/> B \$2.5 - \$10 million | <input type="checkbox"/> F \$100.1 - \$500 million |
| <input type="checkbox"/> C \$10.1 - \$25 million | <input type="checkbox"/> G More than \$500 million |
| <input type="checkbox"/> D \$25.1 - \$50 million | |

Primary sales approach:

- Individual/person-to-person
 Party plan/group sales
 Combination

DATE	NAME OF MULTI-LEVEL MARKETING COMPANY	
SIGNATURE	TYPE NAME AND TITLE	

UNIFORM CONSENT TO SERVICE PROCESS

KNOW ALL PEOPLE BY THESE PRESENTS:

That the undersigned _____, organized under the laws of _____ for purposes of complying with the laws of the State of Montana relating to either the sale, distribution or supplying of goods or services through independent agents, contractors, or distributions at different levels of distribution through a multi-level marketing company, hereby irrevocably appoints the Commissioner of Securities and Insurance, Montana State Auditor and successors in such office, its attorney in the State of Montana upon whom may be serviced any notice, process, or pleading in any action or proceeding against it arising out of, or in connection with, the sale, distribution or supplying of goods or services through a multi-level marketing company or out of violation of the aforesaid laws of the State of Montana; and the undersigned does hereby consent that any such action or proceeding against it may be commenced in any court of competent jurisdiction and proper venue within Montana by service of process upon the officers so designated with the same effect as if the undersigned was organized or created under the laws of that State and have been served lawfully with process in that State. The Company requests that a copy of any notice, process or pleading served hereunder be mailed to the Company or its agents at the following address:

 Name

 Address

Dated this _____ day of _____, 20_____

By: _____

Title: _____

By: _____

Title: _____



NOTICE FILING REQUIREMENTS FOR MULTI-LEVEL MARKETING COMPANIES

1. It is unlawful for a person to transact business in this state as a multi-level marketing company unless the person:
 - a. Has filed with the Commissioner, or
 - b. Is a member of the Direct Selling Association
2. A multi-level marketing company may file by submitting the requisite form prescribed by the Commissioner. The filing must be submitted via email at csi.securities@mt.gov or clicking submit at the bottom of this form.
3. A filing by a multi-level marketing company:
 - a. Is effective until December 31 following filing; and
 - b. Requires an annual notice each year, thereafter, of the Company's operation.
4. A multi-level marketing company may continue its filing in this state, by submitting an updated Form MLD indicating any material change in the information contained in the original form on or before December 31 of each consecutive year.

INITIAL FILING: SUPPORTING DOCUMENTS CHECKLIST

- Include all marketing, sales material and agreements provided to new participants.
- Include the company's policies and procedures.
- Include the company compensation plan.
- Include sample of Distributor Application.
- Provide a list of Montana participants, including their full name, address, phone number, amount invested, amount compensated, date joined and the name of the sponsor. (Upon receipt at the CSI, a spreadsheet may be requested in excel format).

Sending a sales kit is no longer required with initial filing. However, the CSI may request one after initial review of the application.

RENEWAL FILING: SUPPORTING DOCUMENTS CHECKLIST

Renewal filings do not require any supporting documents to be submitted in conjunction with MLD-I. However, please read the following notices:

- If deemed necessary, the CSI may request the company's policies and procedures.
- If deemed necessary, the CSI may request a list of the company's Montana participants in excel format, including their full name, address, phone number, amount invested, amount compensated, date joined and the name of the sponsor.

Please remember to attach all supporting documents in the email when submitting this form. To submit, download the completed document to your desktop before clicking submit.