BEFORE THE STATE AUDITOR AND COMMISSIONER OF INSURANCE
HELENA, MONTANA

IN THE MATTER OF THE PROPOSED
AGENCY ACTION REGARDING THE
INSURANCE PRODUCER LICENSE OF
JAMES K. SOKOLIS, License #19112,

Case No. 2002-24

STIPULATED AGREEMENT
AND FINAL ORDER

The Montana Insurance Department and James K. Sokolis (Respondent) hereby
stipulate and agree as follows:

STIPULATED AGREEMENT

1. Respondent was licensed as a nonresident insurance producer in Montana, license
number 19112.

2. The Montana Insurance Department and the Montana State Auditor and
Commissioner of Insurance have jurisdiction over the subject matter of the above-entitled
proceeding. Respondent desires to avoid expenditure of time and other valuable resources in
resolving the issues in this action. Therefore, Respondent specifically and affirmatively waives
a contested case hearing and all rights to appeal under the Montana Administrative Procedure
Act, and elects to resolve this matter on the terms and conditions set forth herein.

3. Respondent acknowledges that he has read and understands each term of this
Stipulated Agreement and Final Order. Respondent acknowledges that he enters into this
Stipulated Agreement voluntarily, and without reservation.

4. The Insurance Department contends as set forth in the Notice of Proposed Agency
Action (Notice) issued in the matter. Without admitting or denying the Fact Assertions or
Conclusions of Law in the aforementioned Notice, Respondent hereby stipulates and agrees
that this matter shall be resolved as follows:

(a) That Respondent will comply with the Montana Insurance Code, Mont.
Code Ann. § 33-1-101 et seq., and all rules and orders promulgated thereunder; and

(b) That if Respondent applies for licensure in Montana, a new nonresident insurance producer license shall not be issued until he has met all requirements for licensure including, but not limited to, completion of any continuing education requirements and payment of any applicable fees, and that such license shall not be issued until after November 15, 2002.

5. Respondent agrees that this Stipulated Agreement shall be a final compromise and settlement of the Notice issued in this matter.

6. Respondent understands that this Stipulated Agreement is not effective until such time as the following Final Order is signed.

7. Respondent understands that this Stipulated Agreement and Final Order are public records under Montana law and as such may not sealed.

DATED this 7th day of August, 2002.

James K. Sokolis

SUBSCRIBED AND SWORN TO before me this 7th day of August, 2002.

Jennifer Massman, Staff Attorney
Insurance Department
FINAL ORDER

Pursuant to the authority vested by the Montana Insurance Code, Mont. Code Ann. § 33-1-101 et seq., and upon review of the foregoing Stipulated Agreement and good cause appearing therefor,

IT IS ORDERED that the foregoing Stipulated Agreement between James K. Sokolis and the Insurance Department is hereby adopted as if set forth fully herein.

DATED this 13th day of August, 2002.

JOHN MORRISON
State Auditor and Commissioner of Insurance

By: Jill Berdum
Deputy State Auditor
CERTIFICATE OF SERVICE

I hereby certify that on the 13 day of August, 2002, I served a true and accurate copy of the foregoing Stipulated Agreement and Final Order upon the Respondent, by first class mail, postage prepaid, at the following address:

James K. Sokolis
5722 N. Joyce Court
Spokane, WA 99205

[Signature]

[Handwritten Signature]