



Office of the Montana State Auditor

# CSI

Commissioner of Securities and Insurance

**Troy Downing**

Commissioner of Securities & Insurance  
Montana State Auditor  
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## SUSPECTED SECURITIES FRAUD REPORT FORM

The Office of the Montana State Auditor, Commissioner of Securities and Insurance is a criminal justice agency that investigates and prosecutes securities fraud. Protecting consumers and rooting out fraud is our top priority.

Montana law requires agents, brokers, or anyone with reason to believe fraud has occurred to report the suspected fraud to the our office.

**INSTRUCTIONS:**

Adobe Acrobat Reader can be used to input and save data into the form. Download the latest version of Adobe Reader for free, go to <http://get.adobe.com/reader/>.

**WAYS TO SUBMIT THIS FORM:**

Once the form is complete, please e-mail the saved form as a pdf attachment to [legan@mt.gov](mailto:legan@mt.gov). You may also mail the form or fax it to the address below:

Securities Department  
Office of the Montana State Auditor  
840 Helena Ave.  
Helena, MT 59601  
Fax: 406.444.5558  
Email: [legan@mt.gov](mailto:legan@mt.gov)

Name: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

City/State/Zip: \_\_\_\_\_

Telephone: \_\_\_\_\_ Email: \_\_\_\_\_

Describe the nature of the suspected fraud. Please include as much information as you can, including the type of product involved, the dates the suspected fraud occurred, the name, address and telephone number (if you know these) of all individuals and firms involved and a description of the suspected fraud: