



TROY DOWNING
Commissioner of Securities & Insurance
Montana State Auditor
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Medical Care Discount Card Application Procedures for Certificate of Registration

Certificate of Registration

A medical care discount card supplier may not market, promote, sell, or distribute a medical care discount card in this state unless the supplier holds a Certificate of Registration as a supplier issued by the Commissioner.

Requirements for Certificate of Registration

1. Completed application form reviewed and approved by the Commissioner. (The application form is included with this document.)
2. Meet financial responsibility requirements outlined in Section 33-38-106, MCA.
3. Listing of authorized enrollers provided to the Commissioner.

Exceptions

A medical care discount card supplier that is a health insurance issuer authorized to do business in Montana is not required to obtain a Certificate of Registration. The exemption is not extended to medical care discount card suppliers who are affiliates of health insurers.

An administrator that is authorized to do business in this state and provides medical care discount cards only to Montana residents who are members of self-funded group health plans administered by the administrator is not required to obtain a Certificate of Registration.

Waiver

In accordance with 33-38-107, MCA, the Commissioner may waive the registration and financial responsibility requirements for certain **preferred provider organizations**. The factors taken into account in granting the waiver include but are not limited to whether the company:

1. Has contracts in place with health care providers residing in this state;
2. Has contracts in place with users and purchasers of health care services residing in this state who use the medical care discount card in conjunction with a self-funded or fully insured health plan;
3. Is primarily in the preferred provider organization business or primarily in the medical care discount card supplier business; and
4. Was in business in this state prior to October 1, 2005.

For **preferred provider organizations** acting as medical care discount card suppliers on October 1, 2005, requests for waiver were required in writing by October 1, 2005.

For **preferred provider organizations** acting as medical care discount card suppliers that commence operation after October 1, 2005, requests for waiver must be submitted at least 30 days before commencing business as a supplier.

Filing Time Frames

(A person acting as a medical care discount card supplier on October 1, 2005, was required to file an application for a Certificate of Registration with the Commissioner by October 1, 2005.)

For suppliers commencing operation after October 1, 2005, the application for a Certificate of Registration must be submitted at least 30 days before commencing business as a supplier. *The supplier may not market, promote, sell, or distribute a medical care discount card in this state until the Commissioner issues the certificate of registration.*

Filing Fees

There is a non-refundable filing fee of \$100 for the application for *Certificate of Registration*. Additionally, there is \$100 annual filing fee (due by December 31) associated with annual renewal of the Certificate of Registration.

There is a \$250 non-refundable filing fee for the establishment of financial responsibility. Additionally, there is a \$250 filing fee associated with the annual certification of financial responsibility.

All fees should be submitted at the time of the applicable filing.

Biographical Affidavit Forms

Required biographical affidavits should be submitted with the application for Certificate of Registration. The biographical affidavit form can be found at:

https://csimt.gov/wp-content/uploads/industry_ucaa_form11_updated.pdf

Bond Form

The bond form is provided with the application for Certification of Registration.



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Application for Certificate of Registration

1. Name of applicant (card supplier): _____

2. List all names under which Medical Care Discount Cards will be marketed in Montana:

3. Owner and/or controlling entity of Medical Care Discount Card Supplier:

4. List all Officers and Directors of the Medical Care Discount Card Supplier (a completed NAIC biographical affidavit form should be provided for each Officer and each Director):

5. Manager/Point of Contact for Montana Business (please attach completed NAIC biographical affidavit):

Name: _____

Street Address: _____ Mailing Address: _____

Phone: _____ Fax: _____

Email: _____

Changes must be reported promptly

6. Principal Administrative Office Address and contact information:

Street Address:

Mailing Address:

Phone:

Fax:

Email:

Changes must be reported promptly

7. Has card supplier and/or affiliate had a previous application for certificate of registration denied, revoked, suspended, or terminated for cause or is under investigation for or has been found in violation of a statute or regulation in another jurisdiction with the previous 5 years. No

8. Provide a description of the supplier's expertise and/or experience in operating a medical care discount card business. Please attach supporting documentation, if applicable:

9. Describe how the Medical Care Discount Card will be advertised and/or promoted. Additionally, please provide samples of the advertising and promotional materials to be used in Montana, a sample card to be issued, and a sample of the purchase agreement. Advertising/promotional materials must comply with Sections 33-38-103 and 104, MCA.

10. List all health care providers (please include addresses and phone numbers) currently under contract or supply evidence that you have a contract with an established provider network. Additionally, please include information describing or illustrating how users can access a listing of all providers who participate in the network and/or honor your discount.

11. Provide the name and contact information for the Medical Care Discount Card Compliance Officer:

Name: _____

Street Address: _____

Mailing Address: _____

Phone: _____

Fax: _____

Email: _____

Changes must be reported promptly

12. List all authorized enrollers for Montana. Please include current address, phone numbers, and social security numbers:

13. Please attach evidence of financial responsibility. (Section 33-38-106, MCA)



**STATE OF MONTANA
MEDICAL CARE DISCOUNT CARD SUPPLIER BOND**

BOND No. _____

BOND AMOUNT _____

KNOW ALL PERSONS BY THESE PRESENTS:

That we, _____, as principal,
and _____, a corporation duly organized authorized
and existing under the laws of the state of _____, and authorized to do business in the state of
Montana, as SURETY, are held and firmly bound unto the state of Montana, in the penal sum of \$50,000
lawful money of the United States for the payment of which sum, well and truly to be made, we bind
ourselves, our heirs, executors, administrators, successors, and assigns, jointly and severally, firmly by these
presents.

WHEREAS, the principal is subject to the provisions of the Montana Medical Care Discount Card Act and
shall faithfully comply with the provisions of the Act.

NOW, THEREFORE, THE CONDITIONS OF THIS OBLIGATION ARE SUCH, that if the above bonded
principal shall faithfully comply with the provisions of the Act and the orders legally made pursuant thereto,
then and in that event the forgoing obligation shall be void, otherwise to remain in full force and effect.

PROVIDED, HOWEVER, AND UPON THE FOLLOWING EXPRESS CONDITIONS: That any person or
the Montana Commissioner of insurance claiming against the bond for violation of the Act occurring during
the time period during which this bond is in effect may maintain an action at law against the PRINCIPAL
and against the SURETY. The aggregate liability of the SURETY to all persons damaged by violations of
this Act may not exceed the amount of the surety bond.

PROVIDED FURTHER, that the Surety may terminate its liability hereunder as to future acts of the
Principal at any time by giving twenty one (21) days written notice of such termination to the Montana
Commissioner of Insurance.

This bond is for a definite term beginning _____, and ending _____, and maybe
continued by a Continuation Certificate.

SIGNED, SEALED AND DATED THIS _____ DAY OF _____ 20__

(Medical Care Discount Card)

By: _____
(Principal)

By: _____
(Surety)