

2016 RENEWAL REQUIREMENTS FOR ISSUER- APPOINTMENT SALESPERSONS

ISSUER-APPOINTED SALESPERSONS: \$50 registration fee **AND** a copy of page 1 of the most recent U-4 form. PLEASE NOTE: Salespersons selling for issuers with portfolios must be registered **with each portfolio**. If the salesperson is registered with more than one portfolio, you need only provide one copy of page 1 of the most recent U-4 form for the trust name and attach a list of portfolios. Please be sure the fund name is correct on the U-4 form.

Payment must be made directly to the State of Montana Securities Department and received prior to December 31, 2015.

Should you have any questions regarding the renewal procedure, please feel free to contact the Montana Securities Registration Department.

INCOMPLETE FILINGS WILL DELAY RENEWALS